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Spinorial characterizations of Surfaces into 3-dimensional homogeneous Manifolds

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Abstract

We give a spinorial characterization of isometrically immersed surfaces into 3-dimensional homogeneous manifolds with 4-dimensional isometry group in terms of the existence of a particular spinor, called generalized Killing spinor. This generalizes results by T. Friedrich [7] for \mathbb{R}^3 and B. Morel [16] for \mathbb{S}^3 and \mathbb{H}^3 . The main argument is the interpretation of the energy-momentum tensor of a generalized Killing spinor as the second fundamental form up to a tensor depending on the structure of the ambient space.

keywords: Dirac Operator, Killing Spinors, Isometric Immersions, Gauss and Codazzi Equations.

subjclass: Differential Geometry, Global Analysis, 53C27, 53C40, 53C80, 58C40.

1 Introduction

Over the past years, the spinorial tool has been used successfully in the study of the geometry and the topology of submanifolds of space forms. The spinorial approach allows to solve naturally some problems of geometry of submanifolds. For instance, some simple proofs of the Alexandrov theorem in

the Euclidean space were given ([13]) or in the hyperbolic space ([11]) and new results about Einstein manifolds or Langrangian submanifolds of Kähler manifolds ([12]).

In the same time, several results about the 3-dimensional homogeneous manifolds with 4-dimensional isometry group were obtained, particularly concerning the minimal and constant mean curvature surfaces in this spaces, by H. Rosenberg *and al.* ([1, 17] for instance). In this paper, we make use of the spinorial tool in the study of surfaces in these 3-dimensional homogeneous manifolds. As in the case of space forms \mathbb{R}^n , \mathbb{S}^n and \mathbb{H}^n , we can think that a spinorial approach could yield to solve some open questions in the theory of surfaces in 3-dimensional homogeneous manifolds with 4-dimensional isometry group, as the existence of an Alexandrov type theorem.

The first step of such an approach is to understand the spinorial geometry of these surfaces and particularly to give a spinorial characterization of the surfaces which are isometrically immersed into these homogeneous manifolds. Precisely, we characterize these surfaces by the existence of a special spinor field called *generalized Killing spinor*. Then, we will show that the existence of a generalized Killing spinor is equivalent to the existence of a spinor field solution of a weaker equation (involving the Dirac operator) with an additional condition on the norm of the spinor field (see Theorems 1, 2 and 3).

The results that we give in this paper are non-trivial generalizations of ([8]) and ([16]) to these homogeneous manifolds.

2 Preliminaries

We begin with a preliminary section in order to recall the basic facts about spin geometry of hypersurfaces. For further details, the reader can refer to [4], [9] or [14] for general properties of spin manifolds and [2], [10] or [15] for the restriction to hypersurfaces.

2.1 Hypersurfaces and induced spin structures

Let (M^{n+1}, g) be a Riemannian spin manifold and ΣM its spinor bundle. We denote by ∇ the Levi-Civita connection on TM , and also on ΣM . The Clifford multiplication will be denoted by γ and $\langle \cdot, \cdot \rangle$ is the natural Hermitian product ΣM , compatible with ∇ and γ . Finally, we denote by D the Dirac operator.

Now let N be an orientable hypersurface of M . Since the normal bundle is trivial, the hypersurface N is also spin. Indeed, the existence of a normal

unit vector field ν globally defined induces on N a spin structure from the spin structure of M .

Then we can consider the intrinsic spinor bundle ΣN of N . We denote respectively by ∇^N , γ^N and D^N , the Levi-Civita connection, the Clifford multiplication and the intrinsic Dirac operator on N . We can also define an extrinsic spinor bundle on N by $\mathbf{S} := \Sigma M|_N$. Then we recall the identification between these two spinor bundles (*cf* [10], [15] or [2] for instance):

$$(1) \quad \mathbf{S} \equiv \begin{cases} \Sigma N & \text{if } n \text{ is even} \\ \Sigma N \oplus \Sigma N & \text{if } n \text{ is odd.} \end{cases}$$

The interest of this identification is that we can use restrictions of ambient spinors to study the intrinsic Dirac operator of N . Indeed, we can define an extrinsic connection $\nabla^{\mathbf{S}}$ and a Clifford multiplication $\gamma^{\mathbf{S}}$ on \mathbf{S} by

$$(2) \quad \nabla^{\mathbf{S}} = \nabla + \frac{1}{2}\gamma(\nu)\gamma(A),$$

$$(3) \quad \gamma^{\mathbf{S}} = \gamma(\nu)\gamma,$$

where ν is the exterior normal unit vector field and A the associated Weingarten operator. By the previous identification given by (1), we can also identify connections and Clifford multiplications.

$$(4) \quad \nabla^{\mathbf{S}} \equiv \begin{cases} \nabla^N & \text{if } n \text{ is even,} \\ \nabla^N \oplus \nabla^N & \text{if } n \text{ is odd,} \end{cases}$$

$$(5) \quad \gamma^{\mathbf{S}} \equiv \begin{cases} \gamma^N & \text{if } n \text{ is even,} \\ \gamma^N \oplus -\gamma^N & \text{if } n \text{ is odd.} \end{cases}$$

Then, we can consider the following extrinsic Dirac operator \mathbf{D} on N , acting on sections of \mathbf{S} and given locally by

$$(6) \quad \mathbf{D} = \sum_{i=1}^n \gamma^{\mathbf{S}}(e_i) \nabla_{e_i}^{\mathbf{S}},$$

where $\{e_1, \dots, e_n\}$ is an orthonormal local frame of TN . Then, by (2), we have

$$(7) \quad \mathbf{D} = \frac{n}{2}H - \gamma(\nu) \sum_{i=1}^n \gamma(e_i) \nabla_{e_i},$$

that is, for any $\psi \in \Gamma(\mathbf{S})$

$$(8) \quad \mathbf{D}\psi := \frac{n}{2}H\psi - \gamma(\nu)D\psi - \nabla_\nu\psi.$$

Moreover, extrinsic and intrinsic Dirac operators are related by the following formula

$$(9) \quad \mathbf{D} \equiv \begin{cases} D^N & \text{if } n \text{ is even,} \\ D^N \oplus -D^N & \text{if } n \text{ is odd.} \end{cases}$$

It is easy to see from the definition that

$$(10) \quad \nabla^{\mathbf{S}}\gamma(\nu) = \gamma(\nu)\nabla^{\mathbf{S}} \quad \text{and} \quad \mathbf{D}\gamma(\nu) = -\gamma(\nu)\mathbf{D}.$$

This implies that if N is compact, then the spectrum of \mathbf{D} is symmetric and

$$(11) \quad \text{spec } \mathbf{D} \equiv \begin{cases} \text{spec } (D^N) & \text{if } n \text{ is even,} \\ \text{spec } (D^N) \cup -\text{spec } (D^N) & \text{if } n \text{ is odd.} \end{cases}$$

2.2 3-dimensional homogeneous manifolds with 4-dimensional isometry group

In this section, we give a description of the 3-dimensional homogeneous manifolds with 4-dimensional isometry group. Such a manifold is a Riemannian fibration over a simply connected 2-dimensional manifold with constant curvature κ and such that the fibers are geodesic. We denote by τ the bundle curvature, which measures the defect of the fibration to be a Riemannian product. When τ vanishes, we get a product manifold $\mathbb{M}^2(\kappa) \times \mathbb{R}$. Here, we describe 3-homogeneous manifolds with 4-dimensional isometry group and $\tau \neq 0$. These manifolds are of three types: they have the isometry group of the Berger spheres if $\kappa > 0$, of the Heisenberg group Nil_3 if $\kappa = 0$ or of $\widetilde{PSL_2(\mathbb{R})}$ if $\kappa < 0$ (see Table 1).

	$\kappa > 0$	$\kappa = 0$	$\kappa < 0$
$\tau = 0$	$\mathbb{S}^2(\kappa) \times \mathbb{R}$		$\mathbb{H}^2 \times \mathbb{R}$
$\tau \neq 0$	$(\mathbb{S}^3, g_{Berger})$	Nil_3	$\widetilde{PSL_2(\mathbb{R})}$

Table 1: *Classification of $\mathbb{E}(\kappa, \tau)$*

In the sequel, we denote these homogeneous manifolds by $\mathbb{E}(\kappa, \tau)$. We begin by giving a precise description of $\mathbb{E}(\kappa, \tau)$. For further details, one can refer to [6] or [18].

2.2.1 Canonical frame

Let $\mathbb{E}(\kappa, \tau)$ be a 3-dimensional homogeneous manifold with 4-dimensional isometry group. Assume that $\tau \neq 0$, *i.e.*, $\mathbb{E}(\kappa, \tau)$ is not a product manifold $\mathbb{M}^2(\kappa) \times \mathbb{R}$. As we said, $\mathbb{E}(\kappa, \tau)$ is a Riemannian fibration over a simply connected 2-dimensional manifold with constant curvature κ and such that the fibers are geodesic. Now, let ξ be a unitary vector field tangent to the fibers. We call it the vertical vector field. This vector field is a Killing vector field (corresponding to translations along the fibers).

We denote respectively by $\overline{\nabla}$ and \overline{R} the Riemannian connection and the curvature tensor of $\mathbb{E}(\kappa, \tau)$. The manifold $\mathbb{E}(\kappa, \tau)$ admits a local direct orthonormal frame $\{e_1, e_2, e_3\}$ with

$$e_3 = \xi$$

and such that the Christoffel symbols $\overline{\Gamma}_{ij}^k = \langle \overline{\nabla}_{e_i} e_j, e_k \rangle$ are

$$(12) \quad \begin{cases} \overline{\Gamma}_{12}^3 = \overline{\Gamma}_{23}^1 = -\overline{\Gamma}_{21}^3 = -\overline{\Gamma}_{13}^2 = \tau, \\ \overline{\Gamma}_{32}^1 = -\overline{\Gamma}_{31}^2 = \tau - \sigma, \\ \overline{\Gamma}_{ii}^i = \overline{\Gamma}_{ij}^i = \overline{\Gamma}_{ji}^i = \overline{\Gamma}_{ii}^j = 0, \quad \forall i, j \in \{1, 2, 3\}, \end{cases}$$

where $\sigma = \frac{\kappa}{2\tau}$. Then we have

$$[e_1, e_2] = 2\tau e_3, \quad [e_2, e_3] = \sigma e_1, \quad [e_3, e_1] = \sigma e_2.$$

We will call $\{e_1, e_2, e_3\}$ the canonical frame of $\mathbb{E}(\kappa, \tau)$. From (12), we see easily that for any vector field X ,

$$(13) \quad \overline{\nabla}_X e_3 = \tau X \wedge e_3,$$

where \wedge is the vector product in $\mathbb{E}(\kappa, \tau)$, that is, for any $X, Y, Z \in \Gamma(TM)$,

$$\langle X \wedge Y, Z \rangle = \det_{\{e_1, e_2, e_3\}}(X, Y, Z).$$

2.2.2 Manifolds with the isometry group of the Berger spheres

Here, we are in the case $\kappa > 0$ and $\tau \neq 0$. These manifolds are fibrations over round 2-spheres. They are obtained by deforming the metric of a round 3-spheres in a way preserving the Hopf fibration and modifying the length of

fibers.

We can see \mathbb{R}^3 endowed with the following metric

$$ds^2 = \lambda^2(dx^2 + dy^2) + (\tau(ydx - xdy) + dz)^2$$

with

$$\lambda(x, y, z) = \frac{1}{1 + \frac{\kappa}{4}(x^2 + y^2)}$$

as the universal cover of such a homogeneous manifold ($\kappa > 0$ et $\tau \neq 0$) minus the fiber corresponding to the point $\infty \in \mathbb{S}^2$. In these coordinates, the fibers are $\{x = x_0, y = y_0\}$. Then, the canonical frame is $\{e_1, e_2, e_3\}$ defined as follows.

$$\begin{cases} e_1 = \lambda^{-1}(\cos(\sigma z)\partial_x + \sin(\sigma z)\partial_y) + \tau(x\sin(\sigma z) - y\cos(\sigma z))\partial_z, \\ e_2 = \lambda^{-1}(-\sin(\sigma z)\partial_x + \cos(\sigma z)\partial_y) + \tau(x\cos(\sigma z) + y\sin(\sigma z))\partial_z, \\ e_3 = \xi, \end{cases}$$

with

$$\sigma = \frac{\kappa}{2\tau}.$$

Thus, this frame satisfies

$$[e_1, e_2] = 2\tau e_3, \quad [e_2, e_3] = \frac{\kappa}{2\tau}e_1, \quad [e_3, e_1] = \frac{\kappa}{2\tau}e_2,$$

and is defined on the open set $\mathbb{E}(\kappa, \tau)'$ which is $\mathbb{E}(\kappa, \tau)$ minus the fiber corresponding to the point at the infinity of $\mathbb{S}^2(\kappa)$.

Remark 1. *In the strict sense, the Berger spheres are $\mathbb{E}(\kappa, \tau)$ with $\kappa = 4$.*

2.2.3 Manifolds with the isometry group of the Heisenberg space Nil_3

They occur when $\kappa = 0$ and $\tau \neq 0$. They are fibrations over the Euclidean space \mathbb{R}^2 .

Recall that the Heisenberg group is the Lie group Nil_3 defined by

$$Nil_3 = \left\{ \begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} ; (a, b, c) \in \mathbb{R}^3 \right\}$$

endowed with a left invariant metric. Using exponential coordinates allows us to see the Heisenberg group as \mathbb{R}^3 with the following metric.

$$ds^2 = dx^2 + dy^2 + (\tau(ydx - xdy) + dz)^2.$$

In these coordinates, the fibers are given by $\{x = x_0, y = y_0\}$ and the canonical frame $\{e_1, e_2, e_3\}$ is defined by

$$\begin{cases} e_1 = \partial_x - \tau y \partial_z, \\ e_2 = \partial_y + \tau x \partial_z, \\ e_3 = \partial_z. \end{cases}$$

Moreover this frame satisfies

$$[e_1, e_2] = 2\tau e_3, \quad [e_2, e_3] = 0, \quad [e_3, e_1] = 0.$$

2.2.4 Manifolds with the isometry group of $\widetilde{PSL_2(\mathbb{R})}$

Such manifolds are fibrations over hyperbolic plans. They occur when $\kappa < 0$ and $\tau \neq 0$. We can take the Poincaré disk model for the hyperbolic plane of constant curvature $\kappa < 0$. The manifold $\mathbb{D}^2\left(\frac{2}{\sqrt{-\kappa}}\right) \times \mathbb{R}$, endowed with the metric

$$ds^2 = \lambda^2(dx^2 + dy^2) + (\tau(ydx - xdy) + dz)^2,$$

with

$$\lambda(x, y, z) = \frac{1}{1 + \frac{\kappa}{4}(x^2 + y^2)},$$

and where $\mathbb{D}^2(\rho)$ is the open disk of radius ρ in \mathbb{R}^2 , is a homogeneous manifold of bundle curvature τ and base curvature κ . The fibers are $\{x = x_0, y = y_0\}$. Then, the canonical frame is $\{e_1, e_2, e_3\}$ defined as follows

$$\begin{cases} e_1 = \lambda^{-1}(\cos(\sigma z)\partial_x + \sin(\sigma z)\partial_y) + \tau(x\sin(\sigma z) - y\cos(\sigma z))\partial_z, \\ e_2 = \lambda^{-1}(-\sin(\sigma z)\partial_x + \cos(\sigma z)\partial_y) + \tau(x\cos(\sigma z) + y\sin(\sigma z))\partial_z, \\ e_3 = \xi, \end{cases}$$

where

$$\sigma = \frac{\kappa}{2\tau},$$

satisfies

$$[e_1, e_2] = 2\tau e_3, \quad [e_2, e_3] = \frac{\kappa}{2\tau}e_1, \quad [e_3, e_1] = \frac{\kappa}{2\tau}e_2.$$

2.2.5 The curvature tensor of $\mathbb{E}(\kappa, \tau)$

From the description of the homogeneous spaces $\mathbb{E}(\kappa, \tau)$ that we gave, we can explicitly compute the curvature tensor. In the three cases, there exists a canonical frame $\{e_1, e_2, e_3\}$ such that the Christoffel symbols are given by

(12). From these relations, we can see that the curvature operator \overline{R} , acting on 2-forms, defined by

$$\langle \overline{R}(X \wedge Y), Z \wedge W \rangle := \langle \overline{R}(X, Y)Z, W \rangle$$

is diagonal in the frame $\{e_2 \wedge e_3, e_3 \wedge e_1, e_1 \wedge e_2\}$ and the corresponding matrix is

$$(14) \quad \overline{R} = \text{diag}(\tau^2, \tau^2, \kappa - 3\tau^2).$$

Because of the symmetry of the curvature tensor, it is completely determined by (14). Namely, we have

Proposition 2.1. *For $X, Y, Z, W \in \mathfrak{X}(\mathbb{E}(\kappa, \tau))$, we have*

$$\langle \overline{R}(X, Y)Z, W \rangle = (\kappa - 3\tau^2) \langle R_0(X, Y)Z, W \rangle + (\kappa - 4\tau^2) \langle R_1(\xi; X, Y)Z, W \rangle,$$

where R_0 and R_1 are defined by

$$R_0(X, Y)Z = \langle X, Z \rangle Y - \langle Y, Z \rangle X,$$

and

$$\begin{aligned} R_1(V; X, Y)Z &= \langle Y, V \rangle \langle Z, V \rangle X + \langle Y, Z \rangle \langle X, V \rangle V \\ &\quad - \langle X, Z \rangle \langle Y, V \rangle V - \langle X, V \rangle \langle Z, V \rangle Y \end{aligned}$$

Proof: Any vector field X can be decomposed in vertical and horizontal parts, $X = \tilde{X} + x\xi$, where $x = \langle X, \xi \rangle$. By the same way, we decompose Y, Z and W . Then, we get a sum of 16 terms for $\langle \overline{R}(X, Y)Z, W \rangle$. Using the symmetry of the curvature tensor, many terms vanish. Namely, when the vertical vector ξ appears three times, four times, or two times, but in position 1 and 2, or 3 and 4. Finally, by (14), when ξ appears one time, the term also vanishes. Then, we get

$$\begin{aligned} \langle \overline{R}(X, Y)Z, W \rangle &= \langle \overline{R}(\tilde{X}, \tilde{Y})\tilde{Z}, \tilde{W} \rangle \\ &\quad + yw \langle \overline{R}(\tilde{X}, \xi)\tilde{Z}, \xi \rangle + yz \langle \overline{R}(\tilde{X}, \xi)\xi, \tilde{W} \rangle \\ &\quad + xw \langle \overline{R}(\xi, \tilde{Y})\tilde{Z}, \xi \rangle + xz \langle \overline{R}(\xi, \tilde{Y})\xi, \tilde{W} \rangle \\ &= (\kappa - 3\tau^2) \left(\langle \tilde{X}, \tilde{Z} \rangle \langle \tilde{Y}, \tilde{W} \rangle - \langle \tilde{X}, \tilde{W} \rangle \langle \tilde{Y}, \tilde{Z} \rangle \right) \\ &\quad + \tau^2 \left(yw \langle \tilde{X}, \tilde{Z} \rangle - yz \langle \tilde{X}, \tilde{W} \rangle - xw \langle \tilde{Y}, \tilde{Z} \rangle + xz \langle \tilde{Y}, \tilde{W} \rangle \right) \\ &= (\kappa - 3\tau^2) (\langle X, Z \rangle \langle Y, W \rangle - \langle X, W \rangle \langle Y, Z \rangle) \\ &\quad - (\kappa - 4\tau^2) (\langle X, Z \rangle \langle Y, \xi \rangle \langle W, \xi \rangle + \langle Y, W \rangle \langle X, \xi \rangle \langle Z, \xi \rangle \\ &\quad - \langle X, W \rangle \langle Y, \xi \rangle \langle Z, \xi \rangle - \langle Y, Z \rangle \langle X, \xi \rangle \langle W, \xi \rangle), \end{aligned}$$

which achieves the proof. \square

3 Special spinor fields on the surfaces of $\mathbb{E}(\kappa, \tau)$

3.1 Generalized Killing spinor for $\mathbb{M}^2(\kappa) \times \mathbb{R}$

3.1.1 Spinor bundle of $\mathbb{M}^2(\kappa) \times \mathbb{R}$

First, in every dimension, since \mathbb{M}^n is spin, then $\mathbb{M}^n \times \mathbb{R}$ is also spin and the spin structures of \mathbb{M}^n and $\mathbb{M}^n \times \mathbb{R}$ are in a one-to-one correspondence. Indeed, If we consider a spin structure on $\mathbb{M}^n \times \mathbb{R}$, it is well-known that it induces a spin structure on \mathbb{M}^n . Conversely, if we consider a spin structure on \mathbb{M}^n , then we can lift it to a spin structure on $\mathbb{M}^n \times \mathbb{R}$, and we obtain a $\widetilde{Gl}^+(n, \mathbb{R})$ -principal bundle over $\mathbb{M}^n \times \mathbb{R}$. Then we extend the structural groupe via the following embedding

$$\widetilde{Gl}^+(n, \mathbb{R}) \hookrightarrow \widetilde{Gl}^+(n+1, \mathbb{R}),$$

obtained by this standard embedding

$$Gl^+(n, \mathbb{R}) \hookrightarrow Gl^+(n+1, \mathbb{R})$$

given by

$$a \longmapsto \begin{pmatrix} 1 & 0 \\ 0 & a \end{pmatrix}$$

Finally, by restriction of this spin structure on \mathbb{M}^n , we get back the initial spin structure (*cf* [3] for more details).

From now, we will focus on the case $n = 2$. We will explain explicitly the spinor bundle of $\mathbb{M}^2 \times \mathbb{R}$. We have seen in Section 2.1 that

$$\Sigma(\mathbb{M}^2 \times \mathbb{R})|_{\mathbb{M}^2} \cong \Sigma\mathbb{M}^2.$$

So, if $\varphi \in \Gamma(\Sigma(\mathbb{M}^2 \times \mathbb{R}))$, then for any $t \in \mathbb{R}$:

$$\varphi(., t) \in \Gamma(\Sigma\mathbb{M}^2).$$

So we have the following vector-bundle isomorphism

$$\begin{aligned} \beta : \Sigma(\mathbb{M}^2 \times \mathbb{R}) &\longrightarrow \Sigma(\mathbb{M}^2) \oplus \mathbb{R} \\ \varphi &\longmapsto (\widetilde{\varphi}, 0), \end{aligned}$$

where \oplus is a direct sum of vector-bundle and \mathbb{R} the vector bundle on \mathbb{R} with fibre $\{0\}$. It means that the fibre $\Sigma_{(x,t)}(\mathbb{M}^2 \times \mathbb{R})$ over every point $(x, t) \in \mathbb{M}^2 \times \mathbb{R}$ is the fibre $\Sigma_x \mathbb{M}^2$ of the spinor bundle \mathbb{M}^2 . Then a section of $\Sigma(\mathbb{M}^2 \times \mathbb{R})$ is a \mathcal{C}^∞ -map

$$\begin{aligned} \varphi : \mathbb{R} &\longrightarrow \Gamma(\Sigma(\mathbb{M}^2)) \\ t &\longmapsto \varphi_t. \end{aligned}$$

3.1.2 Restriction to a surface

In the sequel, we will consider some particular and interesting sections, precisely the sections which do not depend on t .

We have the spinorial Gauss formula,

$$\bar{\nabla}_X \varphi = \nabla_X \varphi + \frac{1}{2} \gamma(AX) \gamma(\nu) \varphi,$$

where $\bar{\nabla}$ is the spinorial connection on $\mathbb{M}^2 \times \mathbb{R}$, the spinorial connection on \mathbb{M}^2 is ∇ , the Clifford multiplication on $\mathbb{M}^2 \times \mathbb{R}$ is γ and A the Weingarten operator of the immersion of \mathbb{M}^2 into $\mathbb{M}^2 \times \mathbb{R}$. Since \mathbb{M}^2 is totally geodesic in the product $\mathbb{M}^2 \times \mathbb{R}$, we get by taking $\varphi_t = \varphi_0$ a Killing spinor on \mathbb{M}^2 , *i.e.* $\nabla_X \varphi_0 = \eta \gamma^{\mathbb{M}^2}(X) \varphi_0$:

$$\begin{aligned} \bar{\nabla}_X \varphi &= \nabla_X \varphi_0 \\ &= \eta \gamma^{\mathbb{M}^2}(X) \varphi_0 \\ &= \eta \gamma(X) \gamma\left(\frac{\partial}{\partial t}\right) \varphi_0 \\ &= \eta \gamma(X) \gamma\left(\frac{\partial}{\partial t}\right) \varphi \end{aligned}$$

On the other hand, the complexe volume form $\omega_{\mathbb{C}} = -e_1 \cdot e_2 \cdot \frac{\partial}{\partial t}$ acts as identity, so we have

$$\begin{aligned} \gamma(e_1) \gamma\left(\frac{\partial}{\partial t}\right) \varphi &= \gamma(e_2) \gamma(e_1) \gamma(e_2) \gamma\left(\frac{\partial}{\partial t}\right) \varphi \\ &= -\gamma(e_2) \varphi. \end{aligned}$$

Similarly,

$$\begin{aligned} \gamma(e_2) \gamma\left(\frac{\partial}{\partial t}\right) \varphi &= -\gamma(e_1) \gamma(e_1) \gamma(e_2) \gamma\left(\frac{\partial}{\partial t}\right) \varphi \\ &= \gamma(e_1) \varphi. \end{aligned}$$

So we deduce that

$$(15) \quad \begin{cases} \bar{\nabla}_{e_1} \varphi = -\eta \gamma(e_2) \varphi, \\ \bar{\nabla}_{e_2} \varphi = \eta \gamma(e_1) \varphi, \\ \bar{\nabla}_{\frac{\partial}{\partial t}} \varphi = 0. \end{cases}$$

These particular spinor fields are the analogue of Killing spinor field for \mathbb{S}^3 or \mathbb{H}^3 . They are the most special spinors we can find on the product $\mathbb{M}^2(\kappa) \times \mathbb{R}$.

Now, let $(N, \langle \cdot, \cdot \rangle)$ be a surface of $\mathbb{M}^2 \times \mathbb{R}$, oriented by ν . Since $(N, \langle \cdot, \cdot \rangle)$ is oriented, it could be equipped with a spin structure induce from the spin structure of $\mathbb{M}^2 \times \mathbb{R}$. Moreover, as we saw, we have the following identification between the spinor bundles

$$\Sigma(\mathbb{M}^2 \times \mathbb{R})|_N \cong \Sigma N,$$

and the spinorial Gauss formula (2) gives the relation between the spinorial connctions of N and $\mathbb{M}^2 \times \mathbb{R}$. For any $X \in \mathfrak{X}(N)$ and any $\psi \in \Gamma(\Sigma(\mathbb{M}^2 \times \mathbb{R}))$, we have

$$\begin{aligned} (\bar{\nabla}_X \psi)|_N &= \nabla_X(\psi|_N) + \frac{1}{2} \gamma^N(AX) \psi|_N \\ &= \nabla_X(\psi|_N) + \frac{1}{2} \gamma(AX) \gamma(\nu) \psi|_N, \end{aligned}$$

where γ is the Clifford multiplication in $\mathbb{M}^2 \times \mathbb{R}$, γ^N the Clifford multiplication in N and A the Weingarten operator of the immersion of N into $\mathbb{M}^2 \times \mathbb{R}$. If we use this formula for the special spinor field on $\mathbb{M}^2 \times \mathbb{R}$ given by (15), we get

$$\begin{aligned} \nabla_X \varphi &= \bar{\nabla}_X \varphi - \frac{1}{2} \gamma^N(AX) \varphi \\ &= \eta \gamma(X_t) \gamma\left(\frac{\partial}{\partial t}\right) \varphi - \frac{1}{2} \gamma^N(AX) \varphi, \end{aligned}$$

where X_t is the part of X tangent to \mathbb{M}^2 , that is,

$$\begin{aligned} X_t &= X - \left\langle X, \frac{\partial}{\partial t} \right\rangle \frac{\partial}{\partial t} \\ &= X - \langle X, T \rangle T - f \langle X, T \rangle \nu. \end{aligned}$$

So, we deduce that

$$\begin{aligned}
\nabla_X \varphi &= \eta \gamma(X) \gamma \left(\frac{\partial}{\partial t} \right) \varphi - \eta \left\langle X, \frac{\partial}{\partial t} \right\rangle \gamma \left(\frac{\partial}{\partial t} \right) \gamma \left(\frac{\partial}{\partial t} \right) \varphi - \frac{1}{2} \gamma^N(AX) \varphi \\
&= \eta \gamma(X) \gamma \left(\frac{\partial}{\partial t} \right) \varphi + \eta \left\langle X, \frac{\partial}{\partial t} \right\rangle \varphi - \frac{1}{2} \gamma^N(AX) \varphi \\
&= \eta \gamma(X) \gamma(T) \varphi + \eta f \gamma(X) \gamma(\nu) \varphi + \eta \langle X, T \rangle \varphi - \frac{1}{2} \gamma^N(AX) \varphi.
\end{aligned}$$

On the other hand, if we denote by $\omega = e_1 \cdot_N e_2$ the real volume element on N , we have the following relations

$$\begin{cases} \gamma(X) = -\gamma^N(X) \gamma^N(\omega), \\ \gamma(\nu) = \gamma^N(\omega). \end{cases}$$

By using these two identities, the fact that $\omega^2 = -1$ and that ω anti-commutes with vector fields tangent to N , we get

$$\begin{aligned}
\nabla_X \varphi &= \eta \gamma^N(X) \gamma^N(\omega) \gamma^N(T) \gamma^N(\omega) \varphi + \eta \langle X, T \rangle \varphi - \eta f \gamma^N(X) \gamma^N(\omega) \gamma^N(\omega) \varphi \\
&\quad - \frac{1}{2} \gamma^N(AX) \varphi
\end{aligned}$$

Now, we can rewrite this equation as follows

$$(16) \quad \nabla_X \varphi = \eta X \cdot T \cdot \varphi + \eta f X \cdot \varphi + \eta \langle X, T \rangle \varphi - \frac{1}{2} AX \cdot \varphi.$$

where “ \cdot ” stands for the Clifford multiplication on N .

Definition 3.1. *A spinor field which satisfies the equation (16) is called a generalized Killing spinor.*

These spinor fields satisfy the following property

Proposition 3.2. *i) If $\eta = \frac{1}{2}$, then the norm of a generalized Killing spinor is constant.*

ii) If $\eta = \frac{i}{2}$, then the norm of a generalized Killing spinor satisfies for any $X \in \mathfrak{X}(N)$:

$$X|\varphi|^2 = \Re \langle iX \cdot T \cdot \varphi + ifX \cdot \varphi, \varphi \rangle.$$

Proof: We need to calculate $X|\varphi|^2$ for $X \in \mathfrak{X}(N)$. We have,

$$X|\varphi|^2 = 2\Re \langle \nabla_X \varphi, \varphi \rangle.$$

We replace $\nabla_X \varphi$ by the expression given by (16), and we use the following lemma

Lemma 3.3. *Let ψ be a spinor field and β a real 1-form or 2-form. Then*

$$\Re \langle \beta \cdot \psi, \psi \rangle = 0.$$

We deduce easily from this lemma that

$$\Re \langle A(X) \cdot \varphi, \varphi \rangle = 0,$$

and

$$\Re \langle fX \cdot \varphi, \varphi \rangle = 0.$$

By this lemma again, we see that

$$\Re \langle X \cdot T \cdot \varphi, \varphi \rangle + \Re \langle \langle X, T \rangle \varphi, \varphi \rangle = 0.$$

If $\eta = \frac{i}{2}$, the first three terms are non zero but an simple calculation yields to the result. \square

Remark 2. *In the case $\eta = \frac{i}{2}$, the norm of φ is not constant. Nevertheless, we can show that φ never vanishes.*

3.2 Generalized Killing spinors on $\mathbb{E}(\kappa, \tau)$, $\tau \neq 0$

3.2.1 Special spinor fields on $\mathbb{E}(\kappa, \tau)$, $\tau \neq 0$

We will give here the expression of special spinor fields on $\mathbb{E}(\kappa, \tau)$ which will play the role of parallel or Killing spinors that we have in space forms. For this, let us endow $\mathbb{E}(\kappa, \tau)$ with its trivial spinorial structure. We can consider a constant section φ of the spinor bundle. From the local expression of the spinorial connection and of the Christoffel symbols Γ_{ij}^k given in Section 2.2.1, we can compute $\bar{\nabla}_X \varphi$. We recall that the complex volume element $\omega_3^{\mathbb{C}} = -e_1 \cdot e_2 \cdot \xi$ acts as identity, which implies

$$\begin{aligned} \gamma(e_1)\gamma(e_2)\varphi &= -\gamma(e_1)\gamma(e_2)\gamma(e_1)\gamma(e_2)\gamma(\xi)\varphi \\ &= \gamma(\xi)\varphi. \end{aligned}$$

Similarly, we have

$$\gamma(e_2)\gamma(\xi)\varphi = \gamma(e_1)\varphi \quad \text{and} \quad \gamma(\xi)\gamma(e_1)\varphi = \gamma(e_2)\varphi.$$

The expression of the spinorial connection is for any $X \in \mathfrak{X}(\mathbb{E}(\kappa, \tau))$

$$\bar{\nabla}_X \varphi = X(\varphi) + \frac{1}{4} \sum_{i,j=1}^3 \langle \nabla_X e_i, e_j \rangle \gamma(e_i)\gamma(e_j)\varphi,$$

So, we deduce that

$$\begin{aligned}\overline{\nabla}_{e_1}\varphi &= \frac{1}{4}(\Gamma_{12}^3\gamma(e_2)\gamma(\xi)\varphi + \Gamma_{13}^2\gamma(\xi)\gamma(e_2)\varphi) \\ &= \frac{1}{2}\tau\gamma(e_2)\gamma(\xi)\varphi \\ &= \frac{1}{2}\tau\gamma(e_1)\varphi.\end{aligned}$$

By the same way, we have

$$\overline{\nabla}_{e_2}\varphi = \frac{1}{2}\tau\gamma(e_2)\varphi.$$

Then,

$$\begin{aligned}\overline{\nabla}_\xi\varphi &= \frac{1}{4}(\Gamma_{31}^2\gamma(e_1)\gamma(e_2)\varphi + \Gamma_{32}^1\gamma(e_2)\gamma(e_1)\varphi) \\ &= \frac{1}{2}\left(\frac{\kappa}{2\tau} - \tau\right)\gamma(e_1)\gamma(e_2)\varphi \\ &= \frac{1}{2}\left(\frac{\kappa}{2\tau} - \tau\right)\gamma(\xi)\varphi.\end{aligned}$$

Finally, we have a special spinor field φ satisfying

$$(17) \quad \begin{cases} \overline{\nabla}_{e_1}\varphi = \frac{1}{2}\tau\gamma(e_1)\varphi, \\ \overline{\nabla}_{e_2}\varphi = \frac{1}{2}\tau\gamma(e_2)\varphi, \\ \overline{\nabla}_\xi\varphi = \frac{1}{2}\left(\frac{\kappa}{2\tau} - \tau\right)\gamma(\xi)\varphi. \end{cases}$$

3.2.2 Restriction to a surface

In this section, we give the restriction of these spinors to a surface of $\mathbb{E}(\kappa, \tau)$. Let $(N, \langle \cdot, \cdot \rangle)$ be an orientable surface into $\mathbb{E}(\kappa, \tau)$, oriented by ν . Since $(N, \langle \cdot, \cdot \rangle)$ is oriented, it is equipped with a spinorial structure induced from the spinorial structure of $\mathbb{E}(\kappa, \tau)$. Moreover, we recall the identification between spinor bundles

$$\Sigma \mathbb{E}(\kappa, \tau)|_N \cong \Sigma N.$$

We also recall the spinorial Gauss formula:

$$\begin{aligned}(\overline{\nabla}_X\psi)|_N &= \nabla_X(\psi|_N) + \frac{1}{2}\gamma^N(AX)\psi \\ &= \nabla_X(\psi|_N) + \frac{1}{2}\gamma(AX)\gamma(\nu)\psi.\end{aligned}$$

For the special spinor field satisfying (17), the Gauss formula yields to

$$\nabla_X(\varphi|_N) = \frac{\tau}{2}\gamma(\tilde{X})\varphi|_N + \frac{1}{2}\left(\frac{\kappa}{2\tau} - \tau\right)x\gamma(\xi)\varphi|_N - \frac{1}{2}\gamma^N(AX)\varphi|_N,$$

where \tilde{X} et x are defined as in Section 2.2.5 by

$$X = \tilde{X} + x\xi,$$

that is, $x = \langle X, T \rangle$ and

$$\begin{aligned}\tilde{X} &= X - \langle X, T \rangle \xi \\ &= X - \langle X, T \rangle T - f \langle X, T \rangle \nu.\end{aligned}$$

Finally, we get

$$\begin{aligned}\nabla_X \varphi &= \frac{\tau}{2}\gamma(X)\varphi - \frac{\tau}{2}\langle X, T \rangle \gamma(T)\varphi - \frac{\tau}{2}f\gamma(\nu)\varphi \\ &\quad + \frac{1}{2}\left(\frac{\kappa}{2\tau} - \tau\right)\langle X, T \rangle \gamma(T)\varphi + \frac{1}{2}\left(\frac{\kappa}{2\tau} - \tau\right)f\langle X, T \rangle \gamma(\nu)\varphi - \frac{1}{2}\gamma^N(AX)\varphi \\ &= \frac{\tau}{2}\gamma(X)\varphi - \frac{\alpha}{2}\langle X, T \rangle \gamma(T)\varphi - \frac{\alpha}{2}f\langle X, T \rangle \gamma(\nu)\varphi - \frac{1}{2}\gamma(AX)\gamma(\nu)\varphi,\end{aligned}$$

where we set $\alpha = 2\tau - \frac{\kappa}{2\tau}$. On the other hand, if we denote by $\omega = e_1 \cdot e_2$ the real volume element on N , we have the following identities

$$\begin{cases} \gamma(X) = -\gamma^N(X)\gamma^N(\omega), \\ \gamma(\nu) = \gamma^N(\omega). \end{cases}$$

Then, using the fact that $\omega^2 = -1$, we obtain

$$(18) \quad \nabla_X \varphi = -\frac{\tau}{2}X \cdot \omega \cdot \varphi + \frac{\alpha}{2}\langle X, T \rangle T \cdot \omega \cdot \varphi - \frac{\alpha}{2}f\langle X, T \rangle \omega \cdot \varphi - \frac{1}{2}AX \cdot \varphi,$$

where “ \cdot ” stands for the Clifford multiplication on N .

We recall that the spinor bundle ΣN decomposes in

$$\Sigma N = \Sigma^+ N \oplus \Sigma^- N,$$

where $\Sigma^\pm N$ is the eigenspace for the eigenvalue ± 1 under the action of the complex volume element $\omega_2 = i\omega$. In this decomposition, φ can be written $\varphi = \varphi^+ + \varphi^-$, and we set

$$\bar{\varphi} = \omega_2 \cdot \varphi = \varphi^+ - \varphi^-.$$

Then, the equation (18) becomes

$$(19) \quad \nabla_X \varphi = i\frac{\tau}{2}X \cdot \bar{\varphi} - i\frac{\alpha}{2}\langle X, T \rangle T \cdot \bar{\varphi} + i\frac{\alpha}{2}f\langle X, T \rangle \bar{\varphi} - \frac{1}{2}AX \cdot \varphi.$$

Definition 3.4. *A spinor field satisfying (19) is called a generalized Killing spinor.*

Proposition 3.5. *The norm of a generalized Killing spinor is constant.*

Proof: It is sufficient to compute $X|\varphi|^2$ for any $X \in \mathfrak{X}(N)$. Indeed,

$$X|\varphi|^2 = 2\Re \langle \nabla_X \varphi, \varphi \rangle.$$

We replace $\nabla_X \varphi$ by its expression given by (18), and we use Lemma 3.3 to conclude. □

4 Isometric immersions into $\mathbb{M}^2(\kappa) \times \mathbb{R}$

4.1 The results

Here, we state the main results of the present paper which give a spinorial characterization of surfaces isometrically immersed into the product spaces $\mathbb{S}^2 \times \mathbb{R}$ and $\mathbb{H}^2 \times \mathbb{R}$. Our first theorem concerns the surfaces into $\mathbb{S}^2 \times \mathbb{R}$.

Theorem 1. *Let $(N, \langle \cdot, \cdot \rangle)$ be a connected, oriented and simply connected Riemannian surface. Let T be a vector field, f and H two real functions on N satisfying*

$$\begin{cases} f^2 + \|T\|^2 = 1, \\ \langle \nabla_X T, Y \rangle = \langle \nabla_Y T, X \rangle, \quad \forall X, Y \in \chi(N), \\ 2Hf = \operatorname{div}(T). \end{cases}$$

Let $A \in \operatorname{End}(TN)$. The following three data are equivalent:

- i) an isometric immersion F from N into $\mathbb{S}^2 \times \mathbb{R}$ of mean curvature H such that the Weingarten operator related to the normal ν is given by*

$$dF \circ A \circ dF^{-1}$$

and such that

$$\frac{\partial}{\partial t} = dF(T) + f\nu.$$

- ii) a spinor field φ satisfying*

$$\nabla_X \varphi = \frac{1}{2}X \cdot T \cdot \varphi + \frac{1}{2}fX \cdot \varphi + \frac{1}{2}\langle X, T \rangle \varphi - \frac{1}{2}AX \cdot \varphi,$$

where A satisfies

$$\nabla_X T = fAX,$$

and

$$df(X) = -\langle AX, T \rangle.$$

iii) a spinor field φ satisfying

$$D\varphi = (H - f)\varphi - \frac{1}{2}T \cdot \varphi,$$

of constant norm and such that

$$df = -2Q_\varphi(T) + fT.$$

Here, Q_φ is the energy-momentum tensor associated with the spinor field φ and defined by

$$Q_\varphi(X, Y) := \frac{1}{2} \Re \langle X \cdot \nabla_Y \varphi + Y \cdot \nabla_X \varphi, \varphi / |\varphi|^2 \rangle.$$

We have the analogue for surfaces into $\mathbb{H}^2 \times \mathbb{R}$

Theorem 2. *Let $(N, \langle \cdot, \cdot \rangle)$ be a connected, oriented and simply connected Riemannian surface. Let T be a vector field, f and H two real functions on N satisfying*

$$\begin{cases} f^2 + \|T\|^2 = 1, \\ \langle \nabla_X T, Y \rangle = \langle \nabla_Y T, X \rangle, \quad \forall X, Y \in \chi(N), \\ 2Hf = \operatorname{div}(T). \end{cases}$$

Let $A \in \operatorname{End}(TN)$. The following three data are equivalent:

i) an isometric immersion F from N into $\mathbb{H}^2 \times \mathbb{R}$ of mean curvature H such that the Weingarten operator related to the normal ν is given by

$$dF \circ A \circ dF^{-1}$$

and such that

$$\frac{\partial}{\partial t} = dF(T) + f\nu.$$

ii) a spinor field φ satisfying

$$\nabla_X \varphi = \frac{i}{2}X \cdot T \cdot \varphi + \frac{i}{2}fX \cdot \varphi + \frac{i}{2}\langle X, T \rangle \varphi - \frac{1}{2}AX \cdot \varphi,$$

where A satisfies

$$\nabla_X T = fAX,$$

and

$$df(X) = -\langle AX, T \rangle.$$

iii) a spinor field φ which never vanishes and satisfying

$$D\varphi = (H_i f)\varphi - \frac{i}{2}T \cdot \varphi,$$

$$X|\varphi|^2 = \Re(iX \cdot T \cdot \varphi + ifX \cdot \varphi\varphi),$$

and such that

$$df = -2Q_\varphi(T) + B(T),$$

where B is defined as in Lemma 4.5

4.2 Compatibility equation in $\mathbb{M}^n \times \mathbb{R}$

Before giving the proof of these two theorems, we need to recall some facts about how to obtain isometric immersions into product spaces.

Let N be an orientable hypersurface of $\mathbb{M}^n \times \mathbb{R}$ and ν its unit normal vector. Let T be the projection of the vector $\frac{\partial}{\partial t}$ on the tangent bundle TN . Moreover, we consider the function f defined by:

$$f := \left\langle \nu, \frac{\partial}{\partial t} \right\rangle.$$

It is clear that

$$\frac{\partial}{\partial t} = T + f\nu.$$

Since $\frac{\partial}{\partial t}$ is a unit vector field, we have:

$$||T||^2 + f^2 = 1.$$

Let's compute the curvature tensor of $\mathbb{M}^n \times \mathbb{R}$ for tangent vectors to N .

Proposition 4.1. *For all $X, Y, Z, W \in \Gamma(TN)$, we have:*

$$\begin{aligned} \langle \overline{R}(X, Y)Z, W \rangle &= \kappa(\langle X, Z \rangle \langle Y, W \rangle - \langle Y, Z \rangle \langle X, W \rangle \\ &\quad - \langle Y, T \rangle \langle W, T \rangle \langle X, Z \rangle - \langle X, T \rangle \langle Z, T \rangle \langle Y, W \rangle \\ &\quad + \langle X, T \rangle \langle W, T \rangle \langle Y, Z \rangle + \langle Y, T \rangle \langle Z, T \rangle \langle X, W \rangle), \end{aligned}$$

and

$$\langle \overline{R}(X, Y)\nu, Z \rangle = \kappa f(\langle X, Z \rangle \langle Y, T \rangle - \langle Y, Z \rangle \langle X, T \rangle).$$

Proof : Let X be a vector field over $\mathbb{M}^n \times \mathbb{R}$. It can be written as $X(m, t) = (X_t(m), X_m(t))$ with for all $t \in \mathbb{R}$, X_t is a vector field over \mathbb{M}^n and for all $m \in \mathbb{M}^n$, X_m is a vector field over \mathbb{R} . In other words, we have:

$$\begin{aligned} X(m, t) &= X_t(m) + \left\langle X, \frac{\partial}{\partial t} \right\rangle \frac{\partial}{\partial t}(t) \\ &= X_t(m) + \left\langle X, T \right\rangle \frac{\partial}{\partial t}(t). \end{aligned}$$

Since $\frac{\partial}{\partial t}$ is parallel, we get, for all $X, Y, Z, W \in \mathfrak{X}(\mathbb{M}^n \times \mathbb{R})$:

$$\begin{aligned} \overline{R}(X, Y, Z, W) &= \langle \overline{R}_{\mathbb{M}^n}(X_t, Y_t)Z_t, W_t \rangle \\ &= \kappa(\langle X_t, Z_t \rangle \langle Y_t, W_t \rangle - \langle Y_t, Z_t \rangle \langle X_t, W_t \rangle). \end{aligned}$$

Using the fact that

$$X_t(m) = X - \left\langle X, T \right\rangle \frac{\partial}{\partial t}(t),$$

we obtain the first part of the proposition.

The second identity is proved identically with

$$\nu_t(m) = \nu - f \frac{\partial}{\partial t}(t).$$

□

Remark 3. If the couple (X, Y) is orthonormal, we get

$$\overline{R}(X, Y, X, Y) = \kappa(1 - \langle Y, T \rangle^2 - \langle X, T \rangle^2),$$

which gives for $n = 2$

$$\overline{R}_{1212} = \kappa(1 - \|T\|^2) = \kappa f^2.$$

The fact that $\frac{\partial}{\partial t}$ is parallel implies the two following identities

Proposition 4.2. For $X \in \mathfrak{X}(N)$, we have

$$\nabla_X T = fAX,$$

and

$$df(X) = -\langle AX, T \rangle.$$

Proof: We know that $\overline{\nabla}_X \frac{\partial}{\partial t} = 0$ and $\frac{\partial}{\partial t} = T + f\nu$, so

$$\begin{aligned} 0 &= \overline{\nabla}_X T + df(X)\nu + f\overline{\nabla}_X \nu \\ &= \nabla_X T + \langle AX, T \rangle \nu + df(X)\nu - fAX. \end{aligned}$$

Now, it is sufficient to consider the normal and tangential parts to obtain the above identities. \square

Definition 4.3 (Compatibility Equations). *We say that $(N, \langle \cdot, \cdot \rangle, A, T, f)$ satisfies the compatibility equations for $\mathbb{M}^n \times \mathbb{R}$ if and only if for any $X, Y, Z \in \mathfrak{X}(N)$,*

$$\begin{aligned} (20) \quad R(X, Y)Z &= \langle AX, Z \rangle AY - \langle AY, Z \rangle AX \\ &\quad + \kappa \left(\langle X, Z \rangle Y - \langle Y, Z \rangle X - \langle Y, T \rangle \langle X, Z \rangle T \right. \\ &\quad \left. - \langle X, T \rangle \langle Z, T \rangle Y + \langle X, T \rangle \langle Y, Z \rangle T + \langle Y, T \rangle \langle Z, T \rangle X \right), \end{aligned}$$

$$(21) \quad \nabla_X AY - \nabla_Y AX - A[X, Y] = \kappa f (\langle Y, T \rangle X - \langle X, T \rangle Y),$$

$$(22) \quad \nabla_X T = fAX, \text{ and}$$

$$(23) \quad df(X) = -\langle AX, T \rangle.$$

Remark 4. *The relations (20) and (21) are the Gauss and Codazzi equations for an isometric immersion into $\mathbb{M}^n \times \mathbb{R}$.*

4.3 A necessary condition

Here, we recall a result of B. Daniel ([5]) which gives a necessary and sufficient condition for the existence of an isometric immersion of an oriented, simply connected surface N into $\mathbb{S}^2 \times \mathbb{R}$ or $\mathbb{H}^2 \times \mathbb{R}$.

Theorem (Daniel [5]). *Let $(N, \langle \cdot, \cdot \rangle)$ be an oriented, simply connected surface and ∇ its Riemannian connection. Let A be field of symmetric endomorphisms $A_y : T_y N \longrightarrow T_y N$, T a vector field on N and f a smooth function on*

N , such that $\|T\|^2 + f^2 = 1$. If $(N, \langle \cdot, \cdot \rangle, A, T, f)$ satisfies the compatibility equations for $\mathbb{M}^2 \times \mathbb{R}$, then, there exists an isometric immersion

$$F : N \longrightarrow \mathbb{M}^2 \times \mathbb{R}$$

so that the Weingarten operator of the immersion related to the normal ν is

$$dF \circ A \circ dF^{-1}$$

and such that

$$\frac{\partial}{\partial t} = dF(T) + f\nu.$$

Moreover, this immersion is unique up to a global isometry of $\mathbb{M}^2 \times \mathbb{R}$ which preserves the orientation of \mathbb{R} .

4.4 Generalized Killing spinors and compatibility equations

First, we show that the existence of a generalized Killing spinor implies the Gauss and Codazzi equations. For this, let $(N, \langle \cdot, \cdot \rangle)$ be an oriented surface with a generalized Killing spinor, that is, a non-trivial spinor field solution of the equation (16). We will see that the integrability conditions for this equation are precisely the Gauss and Codazzi equations.

Proposition 4.4. *Let $(N, \langle \cdot, \cdot \rangle)$ be an oriented surface with a non-trivial solution of the equation (16) and such that the equations (22) and (23) are satisfied. Then, the Gauss and Codazzi equations for $\mathbb{M}^2 \times \mathbb{R}$ are also satisfied.*

Proof: The proof of this proposition is based on the computation of the spinorial curvature applied to the spinor field φ solution of the equation (16), i.e.

$$\mathcal{R}(X, Y)\varphi = \nabla_X \nabla_Y \varphi - \nabla_Y \nabla_X \varphi - \nabla_{[X, Y]}\varphi,$$

for $X, Y \in \mathfrak{X}(N)$. Using the expression given by (16), the equations (22) and (23), the fact that $\omega^2 = -1$ and that ω anticommutes with vector fields

tangent to N , we get

$$\begin{aligned}
\nabla_X \nabla_Y \varphi = & \underbrace{\eta f Y \cdot AX \cdot \varphi}_{\alpha_1(X,Y)} + \underbrace{\eta^2 Y \cdot T \cdot X \cdot T \cdot \varphi}_{\alpha_2(X,Y)} + \underbrace{\eta^2 f Y \cdot T \cdot X \cdot \varphi}_{\alpha_3(X,Y)} \\
& - \underbrace{\frac{\eta}{2} Y \cdot T \cdot AX \cdot \varphi}_{-\alpha_4(X,Y)} - \underbrace{\eta \langle AX, T \rangle Y \cdot \varphi}_{-\alpha_5(X,Y)} + \underbrace{\eta^2 f Y \cdot X \cdot T \cdot \varphi}_{\alpha_6(X,Y)} \\
& + \underbrace{\eta^2 \langle X, T \rangle Y \cdot T \cdot \varphi}_{\alpha_7(X,Y)} + \underbrace{\eta^2 f^2 Y \cdot X \cdot \varphi}_{\alpha_8(X,Y)} + \underbrace{\eta^2 f \langle X, T \rangle Y \cdot \varphi}_{\alpha_9(X,Y)} \\
& - \underbrace{\frac{\eta}{2} f Y \cdot AX \cdot \varphi}_{-\alpha_{10}(X,Y)} + \underbrace{\eta f \langle Y, AX \rangle \varphi}_{\alpha_{11}(X,Y)} + \underbrace{\eta^2 \langle Y, T \rangle X \cdot T \cdot \varphi}_{\alpha_{12}(X,Y)} \\
& + \underbrace{\eta^2 f \langle Y, T \rangle X \cdot \varphi}_{\alpha_{13}(X,Y)} + \underbrace{\eta^2 \langle X, T \rangle \langle Y, T \rangle \varphi}_{\alpha_{14}(X,Y)} - \underbrace{\frac{\eta}{2} \langle Y, T \rangle AX \cdot \varphi}_{-\alpha_{15}(X,Y)} \\
& - \underbrace{\frac{1}{2} \nabla_X (AY) \cdot \varphi}_{-\alpha_{16}(X,Y)} - \underbrace{\frac{\eta}{2} AY \cdot X \cdot T \cdot \varphi}_{-\alpha_{17}(X,Y)} - \underbrace{\frac{\eta}{2} f AY \cdot X \cdot \varphi}_{-\alpha_{18}(X,Y)} \\
& - \underbrace{\frac{\eta}{2} \langle X, T \rangle AY \cdot \varphi}_{-\alpha_{19}(X,Y)} + \underbrace{\frac{1}{4} AY \cdot AX \cdot \varphi}_{\alpha_{20}(X,Y)} + \underbrace{\eta \nabla_X Y \cdot T \cdot \varphi}_{\alpha_{21}(X,Y)} \\
& + \underbrace{\eta f \nabla_X Y \cdot \varphi}_{\alpha_{22}(X,Y)} + \underbrace{\eta \langle \nabla_X Y, T \rangle \varphi}_{\alpha_{23}(X,Y)}
\end{aligned}$$

That is,

$$\nabla_X \nabla_Y \varphi = \sum_{i=1}^{23} \alpha_i(X, Y).$$

Obviously, by symmetry, we have

$$\nabla_Y \nabla_X \varphi = \sum_{i=1}^{23} \alpha_i(Y, X).$$

On the other hand, we have

$$\begin{aligned}
\nabla_{[X,Y]} \varphi = & \underbrace{\eta [X, Y] \cdot T \cdot \varphi}_{\beta_1([X,Y])} + \underbrace{\eta f [X, Y] \cdot \varphi}_{\beta_2([X,Y])} \\
& + \underbrace{\eta \langle [X, Y], T \rangle \varphi}_{\beta_3([X,Y])} - \underbrace{\frac{1}{2} A[X, Y] \cdot \varphi}_{-\beta_4([X,Y])}.
\end{aligned}$$

Since the connection ∇ is torsion-free, we get

$$\nabla_X Y - \nabla_Y X - [X, Y] = 0,$$

which implies that

$$\begin{aligned}\alpha_{21}(X, Y) - \alpha_{21}(Y, X) - \beta_1([X, Y]) &= 0, \\ \alpha_{22}(X, Y) - \alpha_{22}(Y, X) - \beta_2([X, Y]) &= 0, \\ \alpha_{23}(X, Y) - \alpha_{23}(Y, X) - \beta_3([X, Y]) &= 0.\end{aligned}$$

Moreover, by symmetry, we have

$$\alpha_{11}(X, Y) - \alpha_{11}(Y, X) = 0$$

and

$$\alpha_{14}(X, Y) - \alpha_{14}(Y, X) = 0.$$

Other terms vanishe by symmetry. Namely,

$$\alpha_1(X, Y) + \alpha_{10}(X, Y) + \alpha_{18}(X, Y) - \alpha_1(Y, X) - \alpha_{10}(Y, X) - \alpha_{18}(Y, X) = 0,$$

$$\alpha_3(X, Y) + \alpha_6(X, Y) - \alpha_3(Y, X) - \alpha_6(Y, X) = 0,$$

and

$$\begin{aligned}\alpha_4(X, Y) + \alpha_5(X, Y) + \alpha_{15}(X, Y) + \alpha_{17}(X, Y) + \alpha_{19}(X, Y) \\ - \alpha_4(Y, X) - \alpha_5(Y, X) - \alpha_{15}(Y, X) - \alpha_{17}(X, Y) - \alpha_{19}(X, Y) = 0.\end{aligned}$$

The terms α_2 , α_7 , α_8 et α_{12} can be combined. Indeed, if we set

$$\alpha = \alpha_2 + \alpha_7 + \alpha_8 + \alpha_{12},$$

then

$$\begin{aligned}\alpha(X, Y) - \alpha(Y, X) &= \eta^2 \left[f^2(Y \cdot X - X \cdot Y) + Y \cdot T \cdot X \cdot T - X \cdot T \cdot Y \cdot T \right] \cdot \varphi \\ &= \eta^2 \left[f^2(Y \cdot X - X \cdot Y) + \|T\|^2(Y \cdot X - X \cdot Y) \right] \cdot \varphi \\ &\quad - 2\eta^2 (\langle X, T \rangle Y \cdot T - \langle Y, T \rangle X \cdot T) \cdot \varphi,\end{aligned}$$

by taking X and Y as an orthonormal frame $\{e_1, e_2\}$, we have

$$\begin{aligned}\alpha(e_1, e_2) - \alpha(e_2, e_1) &= 2\eta^2 \left[-T_1 e_2 (T_1 e_1 + T_2 e_2) + T_2 e_2 (T_1 e_1 + T_2 e_2) \right] \cdot \varphi \\ &\quad - 2\eta^2 e_1 \cdot e_2 \cdot \varphi \\ &= -2\eta^2 \omega \cdot \varphi + 2\eta^2 (T_1^2 \omega \cdot + T_1 T_2 - T_1 T_2 + T_2^2 \omega \cdot) \varphi \\ &= -2\eta^2 f^2 \omega \cdot \varphi.\end{aligned}$$

Always for $X = e_1$ and $Y = e_2$,

$$\alpha_9(e_1, e_2) + \alpha_{13}(e_1, e_2) - \alpha_9(e_2, e_1) - \alpha_{13}(e_2, e_1) = 2T \cdot \omega \cdot \varphi = -2J(T) \cdot \varphi,$$

where J is the rotation of positive angle $\frac{\pi}{2}$ on TM . Finally, we get

$$\begin{aligned} \mathcal{R}(e_1, e_2)\varphi &= \frac{1}{4}(Ae_2 \cdot Ae_1 - Ae_1 \cdot Ae_2) \cdot \varphi - 2\eta^2 f^2 \omega \cdot \varphi \\ &\quad - \frac{1}{2}d^\nabla A(e_1, e_2) \cdot \varphi - 2J(T) \cdot \varphi. \end{aligned}$$

Using the Ricci identity

$$\mathcal{R}(e_1, e_2)\varphi = -\frac{1}{2}R_{1212}e_1 \cdot e_2 \cdot \varphi,$$

we have

$$\left(\underbrace{R_{1212} - \det(A) - \kappa f^2}_G \right) \omega \cdot \varphi = \left(\underbrace{d^\nabla A(e_1, e_2) + \kappa^2 f J(T)}_C \right) \cdot \varphi,$$

that is,

$$G\omega \cdot \varphi = C \cdot \varphi,$$

where G is a function and C a vector field. Since, $\omega \cdot \varphi = -i\bar{\varphi}$, we obtain

$$C \cdot \varphi^\pm = \pm iG\varphi^\mp.$$

Thus,

$$\|C\|^2 \varphi^\pm = -G^2 \varphi^\pm.$$

Since φ is a non-trivial solution of (16), by Proposition 3.2, φ^+ et φ^- cannot vanish at the same point. Then $C = 0$ and $G = 0$. But $G = 0$ is the Gauss equation and $C = 0$ is the Codazzi equation. \square

4.5 Generalized Killing Spinor and Dirac Equation

If a spinor field φ is a solution of the equation of generalized Killing spinors (16), then, we deduce immediately that φ is also a solution of the corresponding Dirac equation

$$(24) \quad D\varphi = (H - 2\eta f)\varphi - \eta T \cdot \varphi.$$

In this section, we will see that this Dirac equation, which is weaker than the equation of generalized Killing spinors, is in fact equivalent for spinors with a norm satisfying the condition of Proposition 3.2. For this, we begin by the following lemma

Lemma 4.5. *Let φ be a non-trivial solution of (16). Then, the symmetric endomorphism A is given by*

$$A(X, Y) = 2Q_\varphi(X, Y) + B(X, Y),$$

where Q_φ is the energy-momentum tensor associated with the spinor field φ , and B is the symmetric tensor defined outside of the set of the zeros of φ by

$$\begin{aligned} B(X, Y) = & -2\Re \langle \eta \langle X, Y \rangle T \cdot \varphi, \varphi \rangle - 2\Re \langle \eta f \langle X, Y \rangle \varphi, \varphi \rangle \\ & - \Re \langle \eta (\langle X, T \rangle Y + \langle Y, T \rangle X) \cdot \varphi, \varphi \rangle \end{aligned}$$

We recall that the energy-momentum tensor associated with the spinor field φ is the symmetric 2-tensor defined by

$$Q_\varphi(X, Y) := \frac{1}{2} \Re \langle X \cdot \nabla_Y \varphi + Y \cdot \nabla_X \varphi, \varphi / |\varphi|^2 \rangle.$$

Proof: A simple calculation of the energy-momentum tensor Q_φ using the fact that φ is a solution of (16) yields to the result. \square

We can compute B explicitly in both case, $\eta = \frac{1}{2}$ and $\eta = \frac{i}{2}$.

Lemma 4.6. • *If $\eta = \frac{1}{2}$, then $B = -f \text{Id}$.*

• *If $\eta = \frac{i}{2}$, then B satisfies*

$$\begin{cases} B_{11} = -\Re \langle iT \cdot \varphi, \varphi \rangle - \Re \langle iT_1 e_1 \cdot \varphi, \varphi \rangle \\ B_{12} = B_{21} = \frac{1}{2} \Re \langle i(T_1 e_2 + T_2 e_1) \cdot \varphi, \varphi \rangle \\ B_{22} = -\Re \langle iT \cdot \varphi, \varphi \rangle - \Re \langle iT_2 e_2 \cdot \varphi, \varphi \rangle \end{cases}$$

Proof: The proof is immediate from Lemma 4.5. \square

We recall that any spinor φ can be decomposed in two parts under the action of the volume element ω_2 . Namely, $\varphi = \varphi^+ + \varphi^-$, with $\omega_2 \cdot \varphi^\pm = \pm \varphi^\pm$. Then, we have

$$(25) \quad D\varphi^\pm = H\varphi^\mp - \eta T \cdot \varphi^\pm - 2\eta f \varphi^\mp.$$

Now, we define the following endomorphisms

$$Q_\varphi^\pm(X, Y) = \Re \langle \nabla_X \varphi^\pm, Y \cdot \varphi^\mp \rangle,$$

and

$$\begin{aligned} B^\pm(X, Y) = & -\Re \langle \eta X \cdot T \cdot \varphi^\pm, Y \cdot \varphi^\mp \rangle - \Re \langle \eta \langle X, T \rangle \varphi^\pm, Y \cdot \varphi^\mp \rangle \\ & - \Re \langle \eta f X \cdot \varphi^\mp, Y \cdot \varphi^\mp \rangle \end{aligned}$$

On the other hand, we set

$$A^\pm = Q_\varphi^\pm + B^\pm,$$

and

$$W = \frac{A^+}{|\varphi^-|^2} - \frac{A^-}{|\varphi^+|^2}.$$

From now, we will consider two cases, $\eta = \frac{1}{2}$ and $\eta = \frac{i}{2}$. We give all the details for the case $\eta = \frac{1}{2}$ and only specify minor differences for the case $\eta = \frac{i}{2}$.

4.5.1 The case $\eta = \frac{1}{2}$

In this section, we assume that the norm of φ is constant and we will show that W is identically zero. For that, we will show that W is symmetric, trace-free with rank less or equal to 1. First, let us consider the trace.

Lemma 4.7. *The endomorphisms Q_φ^\pm and B^\pm satisfy*

1. $\text{tr}(Q_\varphi^\pm) = -H|\varphi^\mp|^2 + \frac{1}{2}\Re\langle T \cdot \varphi^\pm, \varphi^\mp \rangle + f|\varphi^\mp|^2.$
2. $\text{tr}(B^\pm) = -\frac{1}{2}\Re\langle T \cdot \varphi^\pm, \varphi^\mp \rangle - f|\varphi^\mp|^2.$

Proof: For Q_φ^\pm , we have

$$\begin{aligned} \text{tr}(Q_\varphi^\pm) &= Q_\varphi^\pm(e_1, e_1) + Q_\varphi^\pm(e_2, e_2) \\ &= \Re\langle \nabla_{e_1}\varphi^\pm, e_1 \cdot \varphi^\mp \rangle + \langle \nabla_{e_2}\varphi^\pm, e_2 \cdot \varphi^\mp \rangle \\ &= -\Re\langle D\varphi^\pm, \varphi^\mp \rangle \\ &= -H|\varphi^\mp|^2 + \frac{1}{2}\Re\langle T \cdot \varphi^\pm, \varphi^\mp \rangle + \Re\langle f\varphi^\mp, \varphi^\mp \rangle. \end{aligned}$$

For B^\pm , we have

$$\begin{aligned} \text{tr}(B^\pm) &= B^\pm(e_1, e_1) + B^\pm(e_2, e_2) \\ &= -\Re\langle T \cdot \varphi^\pm, \varphi^\mp \rangle + \frac{1}{2}\Re\langle T \cdot \varphi^\pm, \varphi^\mp \rangle - \Re\langle f\varphi^\mp, \varphi^\mp \rangle \\ &= -\frac{1}{2}\Re\langle T \cdot \varphi^\pm, \varphi^\mp \rangle - f|\varphi^\mp|^2. \end{aligned}$$

□

Now, we give the following lemma for the defect of symmetry of Q_φ^\pm and B^\pm .

Lemma 4.8. *The endomorphisms Q_φ^\pm and B^\pm satisfy*

1. $Q_\varphi^\pm(e_1, e_2) = Q_\varphi^\pm(e_2, e_1) + \frac{1}{2}\Re \langle \omega \cdot T \cdot \varphi^\pm, \varphi^\mp \rangle,$
2. $B^\pm(e_1, e_2) = B^\pm(e_2, e_1) - \frac{1}{2}\Re \langle \omega \cdot T \cdot \varphi^\pm, \varphi^\mp \rangle.$

Proof: We compute $Q_\varphi^\pm(e_1, e_2)$:

$$\begin{aligned}
Q_\varphi^\pm(e_1, e_2) &= \Re \langle \nabla_{e_1} \varphi^\pm, e_2 \cdot \varphi^\mp \rangle \\
&= \Re \langle e_1 \cdot \nabla_{e_1} \varphi^\pm, e_1 \cdot e_2 \cdot \varphi^\mp \rangle \\
&= \Re \langle D\varphi^\pm, \omega \cdot \varphi^\mp \rangle - \Re \langle e_2 \cdot \nabla_{e_2} \varphi^\pm, e_1 \cdot e_2 \cdot \varphi^\mp \rangle \\
&= \Re \langle D\varphi^\pm, \omega \cdot \varphi^\mp \rangle + Q_\varphi^\pm(e_2, e_1).
\end{aligned}$$

From (25), we get

$$\begin{aligned}
\Re \langle D\varphi^\pm, \omega \cdot \varphi^\mp \rangle &= \Re \langle H\varphi^\mp, \omega \cdot \varphi^\mp \rangle - \frac{1}{2}\Re \langle T \cdot \varphi^\pm, \omega \cdot \varphi^\mp \rangle - f\Re \langle \varphi^\mp, \omega \cdot \varphi^\mp \rangle \\
&= -\frac{1}{2}\Re \langle T \cdot \varphi^\pm, \omega \cdot \varphi^\mp \rangle \\
&= \frac{1}{2}\Re \langle \omega \cdot T \cdot \varphi^\pm, \varphi^\mp \rangle.
\end{aligned}$$

Now, we compute $B^\pm(e_1, e_2) - B^\pm(e_2, e_1)$.

$$\begin{aligned}
B^\pm(e_1, e_2) - B^\pm(e_2, e_1) &= -\frac{1}{2}\Re \langle e_1 \cdot T \cdot \varphi^\pm, e_2 \cdot \varphi^\mp \rangle - \frac{1}{2}\Re \langle T_1 \varphi^\pm, e_2 \cdot \varphi^\mp \rangle \\
&\quad -\frac{1}{2}\Re \langle f e_1 \cdot \varphi^\mp, e_2 \cdot \varphi^\mp \rangle + \frac{1}{2}\Re \langle e_2 \cdot T \cdot \varphi^\pm, e_1 \cdot \varphi^\mp \rangle \\
&\quad +\frac{1}{2}\Re \langle T_2 \varphi^\pm, e_1 \cdot \varphi^\mp \rangle + \frac{1}{2}\Re \langle f e_2 \cdot \varphi^\mp, e_1 \cdot \varphi^\mp \rangle \\
&= \frac{1}{2}\Re \langle e_2 \cdot e_1 \cdot T \cdot \varphi^\pm, \varphi^\mp \rangle - \frac{1}{2}\Re \langle e_1 \cdot e_2 \cdot T \cdot \varphi^\pm, \varphi^\mp \rangle \\
&\quad +\frac{1}{2}\Re \langle (T_1 e_2 - T_2 e_1) \cdot \varphi^\pm, \varphi^\mp \rangle \\
&= -\Re \langle \omega \cdot T \cdot \varphi^\pm, \varphi^\mp \rangle + \frac{1}{2}\Re \langle \omega \cdot T \cdot \varphi^\pm, \varphi^\mp \rangle \\
&= -\frac{1}{2}\Re \langle \omega \cdot T \cdot \varphi^\pm, \varphi^\mp \rangle.
\end{aligned}$$

□

From the last two lemmas, we deduce the following one.

Lemma 4.9. *The endomorphism W is symmetric and trace-free.*

Proof: From Lemma 4.7 we deduce that

$$\begin{aligned}
\operatorname{tr}(A^\pm) &= \operatorname{tr}(Q_\varphi^\pm) + \operatorname{tr}(B^\pm) \\
&= -H|\varphi^\mp|^2 + \frac{1}{2}\Re\langle T \cdot \varphi^\pm, \varphi^\mp \rangle + f|\varphi^\mp|^2 - \frac{1}{2}\Re\langle T \cdot \varphi^\pm, \varphi^\mp \rangle - f|\varphi^\mp|^2 \\
&= -H|\varphi^\mp|^2
\end{aligned}$$

Thus

$$\operatorname{tr}(W) = \frac{\operatorname{tr}(A^+)}{|\varphi^-|^2} - \frac{\operatorname{tr}(A^-)}{|\varphi^+|^2} = 0.$$

On the other hand, from Lemma 4.8, we have

$$\begin{aligned}
A^\pm(e_1, e_2) &= Q_\varphi^\pm(e_1, e_2) + B^\pm(e_1, e_2) \\
&= Q_\varphi^\pm(e_2, e_1) + \frac{1}{2}\Re\langle \omega \cdot T \cdot \varphi^\pm, \varphi^\mp \rangle + B^\pm(e_2, e_1) - \frac{1}{2}\Re\langle \omega \cdot T \cdot \varphi^\pm, \varphi^\mp \rangle \\
&= Q_\varphi^\pm(e_2, e_1) + B^\pm(e_2, e_1) = A^\pm(e_2, e_1).
\end{aligned}$$

Then, A^+ and A^- are symmetric and W too. \square

Finally, we give this last lemma which show that W has a rank at most 1.

Lemma 4.10. *The endomorphism field W satisfies*

$$\Re\langle W(X) \cdot \varphi^-, \varphi^+ \rangle = 0$$

for any tangent vector field X .

Proof: First, since φ has constant norm, for any tangent vector field X , we have

$$\begin{aligned}
0 &= X|\varphi|^2 \\
&= X(|\varphi^+|^2 + |\varphi^-|^2) \\
&= 2\Re(\nabla_X \varphi^+, \varphi^+) + 2\Re(\nabla_X \varphi^-, \varphi^-) \\
(26) \quad &= 2\Re(U(X) \cdot \varphi^-, \varphi^+),
\end{aligned}$$

where $U(X) := \frac{Q_\varphi^+(X)}{|\varphi^-|^2} - \frac{Q_\varphi^-(X)}{|\varphi^+|^2}$. In order to simplify the notations, we set

$$U^+(X) := \frac{Q_\varphi^+(X)}{|\varphi^-|^2} \quad \text{and} \quad U^-(X) := \frac{Q_\varphi^-(X)}{|\varphi^+|^2}.$$

On the other hand, we denote

$$V^+(X) := \frac{B^+(X)}{|\varphi^-|^2} \quad , \quad V^-(X) := \frac{B^-(X)}{|\varphi^+|^2} \quad \text{and} \quad V = V^+ - V^-.$$

Let us compute $\Re(V(X) \cdot \varphi^-, \varphi^+)$.

$$\begin{aligned} \Re(V(X) \cdot \varphi^-, \varphi^+) &= \Re(V^+(X) \cdot \varphi^-, \varphi^+) - \Re(V^-(X) \cdot \varphi^-, \varphi^+) \\ &= \Re(V^+(X) \cdot \varphi^-, \varphi^+) + \Re(V^-(X) \cdot \varphi^+, \varphi^-). \end{aligned}$$

But ,

$$\Re(V^+(X) \cdot \varphi^-, \varphi^+) = \Re(V^+(X, e_1)e_1 \cdot \varphi^-, \varphi^+) + \Re(V^+(X, e_2)e_2 \cdot \varphi^-, \varphi^+).$$

Now, we compute the term $V^+(X, e_1)$.

$$\begin{aligned} V^+(X, e_1) &= -\frac{1}{2}\Re\left\langle X \cdot T \cdot \varphi^+, e_1 \cdot \frac{\varphi^-}{|\varphi^-|^2} \right\rangle - \frac{1}{2}\Re\langle X, T \rangle \left\langle \varphi^+, e_1 \cdot \frac{\varphi^-}{|\varphi^-|^2} \right\rangle \\ &\quad - \frac{1}{2}\Re\left\langle fX \cdot \varphi^-, e_1 \cdot \frac{\varphi^-}{|\varphi^-|^2} \right\rangle \end{aligned}$$

Similarly, we have

$$\begin{aligned} V^+(X, e_2) &= -\frac{1}{2}\Re\left\langle X \cdot T \cdot \varphi^+, e_2 \cdot \frac{\varphi^-}{|\varphi^-|^2} \right\rangle - \frac{1}{2}\Re\langle X, T \rangle \left\langle \varphi^+, e_2 \cdot \frac{\varphi^-}{|\varphi^-|^2} \right\rangle \\ &\quad - \frac{1}{2}\Re\left\langle fX \cdot \varphi^-, e_2 \cdot \frac{\varphi^-}{|\varphi^-|^2} \right\rangle \end{aligned}$$

Since $\left\{e_1 \cdot \frac{\varphi^-}{|\varphi^-|}, e_2 \cdot \frac{\varphi^-}{|\varphi^-|}\right\}$ is a local orthonormal frame of $\Gamma(\Sigma^+N)$ for the scalar product $\Re\langle \cdot, \cdot \rangle$, we deduce the following

$$V^+(X) \cdot \varphi^- = -\frac{1}{2}X \cdot T \cdot \varphi^+ - \frac{1}{2}\langle X, T \rangle \varphi^+ - \frac{1}{2}fX \cdot \varphi^-.$$

Then, we conclude that

$$\begin{aligned} \Re\langle V^+(X) \cdot \varphi^-, \varphi^+ \rangle &= -\frac{1}{2}\Re\langle X \cdot T \cdot \varphi^+, \varphi^+ \rangle - \frac{1}{2}\Re\langle \langle X, T \rangle \varphi^+, \varphi^+ \rangle \\ &\quad - \frac{1}{2}\Re\langle fX \cdot \varphi^+, \varphi^- \rangle. \end{aligned}$$

We can easily see that for any vector field X ,

$$\Re\langle X \cdot T \cdot \varphi^+, \varphi^+ \rangle + \Re\langle \langle X, T \rangle \varphi^+, \varphi^+ \rangle = 0,$$

which yields to

$$\Re \langle V^+(X) \cdot \varphi^-, \varphi^+ \rangle = -\frac{1}{2} \Re \langle fX \cdot \varphi^+, \varphi^- \rangle.$$

By the same way, we show

$$\Re \langle V^-(X) \cdot \varphi^+, \varphi^- \rangle = -\frac{1}{2} \Re \langle fX \cdot \varphi^-, \varphi^+ \rangle.$$

Finally, we conclude that

$$(27) \quad \Re \langle V(X) \cdot \varphi^-, \varphi^+ \rangle = 0.$$

Since $W = U + V$, we deduce from (26) and (27) that

$$\Re \langle W(X) \cdot \varphi^-, \varphi^+ \rangle = 0.$$

This achieves the proof of the lemma. \square

The fact that

$$\Re \langle W(X) \cdot \varphi^-, \varphi^+ \rangle = 0$$

implies that W has rank at most 1. Since W is also symmetric and trace-free, we deduce that

Proposition 4.11. *The endomorphisms field W is identically zero.*

Now, we can state the following proposition

Proposition 4.12. *If φ is a non-trivial solution of the Dirac equation (24) with constant norm, then φ is also a solution of the equation of generalized Killing spinors (16).*

Proof: We set $F := A^+ + A^-$. The fact that $W = 0$ implies

$$(28) \quad \frac{F}{|\varphi|^2} = \frac{A^+}{|\varphi^-|^2} = \frac{A^-}{|\varphi^+|^2}.$$

On the other hand, we have seen that for any vector field X ,

$$\begin{aligned} \nabla_X \varphi &= U^+(X) \cdot \varphi^- + U^-(X) \cdot \varphi^+ \\ &= \frac{A^+}{|\varphi^-|^2} \cdot \varphi^- + \frac{A^-}{|\varphi^+|^2} \cdot \varphi^+ - V^+(X) \cdot \varphi^- - V^-(X) \cdot \varphi^+, \end{aligned}$$

which gives with (28)

$$(29) \quad \nabla_X \varphi = \frac{F(X)}{|\varphi|^2} \cdot \varphi - V^+(X) \cdot \varphi^- - V^-(X) \cdot \varphi^+.$$

The tensor F is symmetric because A^+ and A^- are symmetric. Then, it is easy to check that $A := -2F$ is as in Lemma 4.5 and that (29) gives

$$\nabla_X \varphi = \frac{1}{2} X \cdot T \cdot \varphi + \frac{1}{2} f X \cdot \varphi + \frac{1}{2} \langle X, T \rangle \varphi - \frac{1}{2} A X \cdot \varphi.$$

This concludes the proof. \square

4.5.2 The case $\eta = \frac{i}{2}$

The steps of the proof are exactly the same as for $\eta = \frac{1}{2}$. The two minor differences are that the expression of the spinor field solution of the Dirac equation is different and the norm of this spinor is not constant but satisfies

$$X|\varphi|^2 = \Re e \langle iX \cdot T \cdot \varphi + ifX \cdot \varphi, \varphi \rangle.$$

We do not give the details but all the lemmas of last section have an analogue in the case $\eta = \frac{i}{2}$, and we obtain the following proposition.

Proposition 4.13. *If φ is a non-trivial solution of the Dirac equation (24) with constant norm, then φ is also a solution of the equation of generalized Killing spinors (16).*

Now, we have all ingredients to prove Theorems 1 and 2.

4.6 Proof of Theorems 1 and 2

The proof is the same for the two cases.

The equivalence between (i) and (ii) has been proved in Section 4.4. On the other hand, it is clear that (ii) implies (iii). Finally, we have to show that (iii) implies (ii). We have seen in Section 4.5 that a spinor field solution of the Dirac equation (24) with constant norm is also solution of the equation of generalized Killing spinors (16). We just have to show that

$$\nabla_X T = fAX$$

and

$$df(X) = -\langle AX, T \rangle.$$

The second identity is obvious since

$$df = -2Q_\varphi(T) - B(T)$$

and the expression of A .

To show the first point, we set

$$a(X) := \nabla_X T - fAX.$$

Since $\langle \nabla_X T, Y \rangle = \langle \nabla_Y T, X \rangle$, it is clear that a is symmetric. Moreover, since $2Hf = \operatorname{div}(T)$, we deduce that a is trace-free. Finally, $\nabla_T T = -f d f$ implies the following relation

$$\nabla_T T = -2Q_\varphi(T) - B(T),$$

which is equivalent to $a(T) = 0$. Consequently, a has rank at most 1. So, we can conclude that a is identically zero. It means that for any vector field X on N , we have

$$\nabla_X T = fAX.$$

This achieves the proof. □

5 Isometric immersions into $\mathbb{E}(\kappa, \tau)$, $\tau \neq 0$

Here, we state the main results about spinorial characterization of surfaces isometrically immersed into $\mathbb{E}(\kappa, \tau)$.

Theorem 3. *Let $(N, \langle \cdot, \cdot \rangle)$ be a connected, simply connected and oriented Riemannian surface. Let T be a vector field, f and H two functions on N satisfying*

$$\begin{cases} f^2 + \|T\|^2 = 1, \\ \langle \nabla_X T, Y \rangle = \langle \nabla_Y T, X \rangle + 2\tau f \langle X, JY \rangle, \quad \forall X, Y \in \chi(N), \\ 2Hf = \operatorname{div}(T). \end{cases}$$

Let $A \in \operatorname{End}(TN)$. The following three statements are equivalent.

- i) There exists an isometric immersion F from N into $\mathbb{E}(\kappa, \tau)$ with mean curvature H such that the Weingarten operator related to the normal ν is given by*

$$dF \circ A \circ dF^{-1}$$

and such that

$$\xi = dF(T) + f\nu.$$

ii) The spinor field φ is solution of the equation

$$\nabla_X \varphi = -\frac{\tau}{2} X \cdot \omega \cdot \varphi + \frac{\alpha}{2} \langle X, T \rangle T \cdot \omega \cdot \varphi - \frac{\alpha}{2} f \langle X, T \rangle \omega \cdot \varphi - \frac{1}{2} A X \cdot \varphi,$$

where A satisfies

$$\nabla_X T = f(A X - \tau J X),$$

and

$$df(X) = -\langle A X - \tau J X, T \rangle.$$

iii) The spinor field φ is solution of the Dirac equation

$$D\varphi = H\varphi + \left(\tau - \frac{\alpha}{2} \|T\|^2 \right) \omega \cdot \varphi - \frac{\alpha}{2} f T \cdot \omega \cdot \varphi,$$

with constant norm and such that

$$df = -2Q_\varphi(T) - B(T) - \tau J(T),$$

where B is the tensor defined in an orthonormal frame $\{e_1, e_2\}$ by the following matrix

$$\begin{pmatrix} \alpha T_1 T_2 & \frac{\alpha}{2} (T_1^2 - T_2^2) \\ \frac{\alpha}{2} (T_1^2 - T_2^2) & -\alpha T_1 T_2 \end{pmatrix},$$

with $T_i = \langle T, e_i \rangle$.

Proof : The proof of Theorem 3 uses the same arguments in the proof of Theorems 1 and 2, so we do not give it here. Just note that we use another theorem of Daniel ([6]) which is the analogue of the theorem we give in Section 4.3 for isometric immersions inot $\mathbb{E}(\kappa, \tau)$.

Remark 5. In [6], Daniel gives a characterization of surfaces isometrically immersed into 3-homogeneous manifolds with 4-dimensional isometry group which are not a product. Then, he deduces a Lawson correspondence for cmc-surfaces into such manifolds and product spaces $\mathbb{M}^2(\kappa) \times \mathbb{R}$. The Lawson correspondence is a local isometric correspondence between constant mean curvature surfaces into homogeneous 3-manifolds. Then, it would be natural to understand the Lawson correspondence in terms of spinors. Note that the classical Lawson correspondence (for cmc-surfaces in space forms) is still not well understood via spinors.

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